

Part C State Annual Performance Report (APR) for FFY 2006**Overview of the Annual Performance Report Development:**

The Arizona Early Intervention Program used the following data sources for completing this indicator:

- ❖ State data system
- ❖ Monitoring data (for data validation)

Arizona interprets compliance with the 45-day timeline as completion of the IFSP (rather than conducting the initial IFSP meeting). Individual child evaluation and assessment and IFSP data is tracked through the State data system (ACTS) and AzEIP service providing agency tracking systems. The data is tracked and analyzed by contractor, program, and region on a monthly basis. The data is reported by contractor, program, and region on a quarterly basis. AzEIP focuses monitoring and improvement efforts on those regions/contractors experiencing the most difficulty complying with the timeline.

The actual target data were presented at a stakeholders' meeting on November 9, 2007, at which time improvement activities completed and progress in meeting the target were discussed; progress data was presented to the Interagency Coordinating Council on January 11, 2008. In late March 2008, the State will report, on its website, progress or slippage made in meeting the measurable and rigorous target found in the SPP, and the performance of each early intervention program located in the State on the target in the SPP.

Monitoring Priority: Effective General Supervision Part C / Child Find

Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Measurement: Percent = [(# of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline) divided by the (# of eligible infants and toddlers evaluated and assessed)] times 100.

Account for untimely evaluations.

FFY	Measurable and Rigorous Target
FFY 2006	60%

Actual Target Data for FFY 2006: 59%

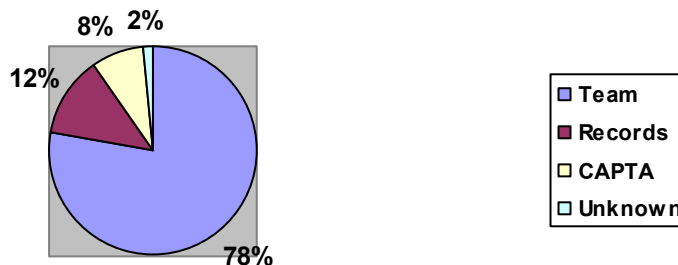
Two thousand three hundred thirty-seven (2,337) of 3,989 (59%) eligible children had initial IFSP completed within 45 days. This includes children for whom the reason for delay was reported as family reason.

Three thousand five hundred seventy-two (3,572) of 3,989 (90%) eligible children had evaluations (eligibility) completed within 45 days of referral. This includes children for whom the reason for delay was reported as family reason.

Reasons for eligibility/evaluation delay must be documented in the child's case notes and reported in the data system. Site reviews validate this documentation.

Children for whom delays were due to family circumstances were included in the numerator and denominator when calculating the percentage of timely evaluations/eligibility. Forty seven percent (47%) of all delays (370/788) were due to family circumstance (e.g., family asking to wait until after their vacation to schedule or participate in evaluation).

Nonfamily reasons for evaluation delay



78% of non-family delays (325/418) were due to team issues (e.g., scheduling conflicts, lack of evaluator).

12% of delays (52/418) were due to records issues (e.g., not receiving evaluation results or diagnosis information).

8% of delays (34/418) were due to CAPTA issues (e.g., child moved from one foster home to another).

2% of delays (7/418) were due to unknown reasons.

Explanation of Progress or Slippage that occurred for FFY 2006:

Although significant progress was made during the 2006-2007 report period, Arizona fell 1% short of meeting its target for FFY 2006.

Eleven of 14 programs in the State met or exceeded the target of 60% for FFY 2006, ranging from 61% to 99% compliance.

All 14 programs in the State made progress over their FFY 2005 compliance rates.

Three programs in the state exceeded 90% compliance during the 2006-2007 report period, and an additional six exceeded 70% compliance for the period.

Progress during FFY 2006 in ensuring compliance

Arizona's compliance agreement with OSEP is the basis for the target for FFY 2006 of less than 100%. As the compliance agreement expired in December 2007, Arizona's target for FFY 2007 is 100%. With that target in mind, the 45-day timeline data for the 11 programs that did not meet a 90% compliance rate for timely eligibility and/or IFSPs during FFY 2006 was analyzed to determine whether these programs made progress over the

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course of the report period. AzEIP calculated compliance rates for the sub-period January – June 2007 for these programs.

January- June 2007		Total	Eligibility ≤45 Days		IFSP ≤45 Days	
Program and Region		Eligible	#	%	#	%
REM	Maricopa R	522	464	89%	179	34%
SWHD	Maricopa S	617	NA		452	73%
Blake	Pima A	132	119	90%	101	77%
Blake	Pima B	271	235	87%	201	74%
Northland	Navajo/Apache	56	NA		42	75%
Navajo Nation Growing In Beauty		28	26	93%	26	93%
REM	Mohave/La Paz	34	34	100%	27	79%
CFR	Yuma	32	29	91%	26	81%
Blake	Gila	12	7	58%	4	33%
Blake	Pinal	86	61	71%	52	60%
Blake	Cochise/Graham/Greenlee	81	NA		63	78%

The above data demonstrates that during the period January through June 2007, four regions (Blake Pima A, Navajo Nation GIB, Mohave REM, and Yuma Child Family Resources) substantially corrected noncompliance for timely eligibility determination, and one program, Navajo Nation GIB, also substantially corrected noncompliance for timely IFSP development.

Status of progress in ensuring compliance as of December 2007

During the first quarter of FFY 2007, one additional program, Pima B, at 95%, met substantial correction of noncompliance for timely evaluations/eligibility. Blake Gila and Blake Pinal made significant progress in correction of noncompliance of timely evaluations/eligibility to 75% and 89% respectively.

The remaining program, REM Maricopa, experienced slippage during the quarter.

Five additional regions reached substantial correction of noncompliance for timely IFSP development, as evidenced in the table below:

July-September 2007

Program	Region	Total # Eligible	IFSP ≤45 #	%
REM	Maricopa R	338	67	20%
SWHD	Maricopa S	295	234	79%
Blake	Pima A	75	64	85%
Blake	Pima B	105	81	77%
Northland	Navajo/Apache	32	30	94%
Navajo Nation Growing In Beauty		18	18	100%
REM	Mohave/LaPaz	14	14	100%
CFR	Yuma	17	16	94%
Blake	Gila	4	2	50%
Blake	Pinal	45	32	71%
Blake	Cochise/Graham/Greenlee	38	36	95%

All but one program, REM Maricopa, made progress during the first quarter of FFY 2007.

Program-specific activities required to ensure correction of noncompliance for the remaining 6 programs with findings:

REM Maricopa at 20%- REM Maricopa is required to upload data on a weekly basis. AZEIP reviews each record and determines whether progress has been made. REM is required to report on the activities conducted on

behalf of each child past the 45 day timeline. AzEIP staff and TAMS review the report and proscribe follow up if progress has not occurred. Despite AzEIP's intensive oversight and interventions, and the continuing Demand for Assurance sanction imposed on REM, substantial slippage on IFSP timelines occurred during the first quarter of FFY 2007, to 20% timely IFSPs. Intensive oversight and intervention will continue until REM's contract expires at the end of April 2008. REM was not awarded a new contract and will not be providing AzEIP initial planning services after April of 2008.

SWHD is currently required to upload data on a biweekly basis. For each child whose eligibility and IFSP timeline exceeds 45 days, the eligibility and IFSP dates, and reasons for delay are monitored by AzEIP staff who determine whether progress is being made. Detailed follow-up reports and requests for updates on specific children are sent to the program within 2 days of each database submission. SWHD data demonstrates progress on IFSP timelines in the first quarter of FFY 2007, to 79% timely IFSPs.

Blake Pima, Gila, and Pinal are required to upload data semi-monthly. In the case of each of Blake's regions, for each child whose eligibility and IFSP timeline exceeds 45 days, the eligibility and IFSP dates and reasons for delay are monitored by AzEIP staff who determine whether progress is being made. Detailed follow-up reports and requests for updates on specific children are sent to the program within 2 days of each database submission. Blake Pima, Gila and Pinal continue to demonstrate progress past October 2007.

Status of progress on noncompliance with FFY2005 target:

In 2005 - 2006, four programs fell short of the State target of 37% timely IFSPs for the period. Of these, one, Navajo Nation Growing in Beauty, substantially met compliance for FFY 2006, and each of the other three programs made progress. All but one of the programs exceeded the state target for timely IFSPs for FFY 2006.

	2005-2006	2006-2006	January – June 2007	July- September 2007	Status of Enforcement Actions
Navajo Nation GIB	36%	72%	93%	100%	Corrective Action Plan (CAP) now closed.
Yuma Child Family Resources	4%	53%	81%	94%	Demand for Assurance closed October 2007.
Mohave La Paz REM	35%	71%	79%	100%	CAP now closed.
Maricopa REM	21%	26%	34%	20%	Demand for Assurance continued in October 2007.

REM Maricopa remains under an open Demand for Assurance through the DES Office of Procurement. Intense monitoring, weekly data uploads, TA, and corrective action plans continue at this time. Monthly work plans were added to REM's requirements in September 2007.

Improvement Activities	Timelines	Status
Provide technical assistance to agencies on the 45-day timeline, including policy and procedures through monitoring activities; Quarterly Regional Meetings, and focused technical assistance.	October 2006 and ongoing	Completed and ongoing
Monitor through continuous monitoring and quality improvement system (see Indicator #9) to ensure implementation of policies	March 2006 and ongoing	Completed and ongoing

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and procedures.		
Disseminate revised IFSP form with guidance.	March 2006	Revisions to IFSP completed Fall 2006 and became effective January 1, 2007.
Focus on improving timeliness, reliability, and validity of IPP data from all contractors.		
Provide detailed feedback and guidance on automated data to each contractor on a monthly basis.	August 2006 – June 2007	Implemented September 2006; ongoing.
Provide targeted TA for Maricopa County contractors implementing the IPP and service coordination agencies, focusing on improving coordination across programs during IPP process to improve IFSP timeline compliance.	April 2006 – December 2007 and ongoing as needed.	Implemented; ongoing.

Revisions, with Justification, to Proposed Targets / Improvement Activities / Timelines / Resources for FFY 2007.

None